

Receipt
2020 Rec'd PCT/PTO SEP 04 2001
EP 2100 10/30/01 (2131)

LAW OFFICES
LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 SOUTH AVENUE WEST

WESTFIELD, NEW JERSEY 07090-1497

(908) 654-5000

FAX: (908) 654-7866

www.ldlkm.com

PATENTS, TRADEMARKS AND COPYRIGHTS

August 30, 2001

SIDNEY DAVID
JOSEPH S. LITTENBERG
ARNOLD H. KRUMHOLZ
WILLIAM L. MENTLIK
JOHN R. NELSON
ROY H. WEPNER
STEPHEN B. GOLDMAN
CHARLES P. KENNEDY
PAUL H. KOCHANSKI
MARCUS J. MILLET
BRUCE H. SALES
ARNOLD B. DOMPIERI
KEITH E. GILMAN
ROBERT B. COHEN
MICHAEL H. TESCHNER
GREGORY S. GEWIRTZ
JONATHAN A. DAVID
SHAWN P. FOLEY*
JEFFREY S. DICKEY

THOMAS M. PALISI
STEPHEN F. ROTH
KIMBERLY V. PERRY
RENÉE M. ROBESON
LYNNE A. BORCHERSA
MICHAEL J. DOHERTY
MATTHEW B. DERNIER
J. KIRKLAND DOUGLASS
JEANNE P. VALLEBUONA
EDWARD D. PERGAMENT
FRANK J. CHESKY II
WILLIAM SMITH
TIMOTHY J. LUBECKI*
TIMOTHY J. BORTREE
LANCE Y. LIU

OF COUNSEL
LAWRENCE I. LERNER
DANIEL H. BOBIS
RAYMOND W. AUGUSTINA
HARVEY L. COHEN

*NORTH CAROLINA BAR ONLY
*NEW YORK BAR ONLY
*PENNSYLVANIA BAR ONLY

Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Re: Our File: SONYJP 3.3-130
Appln. No. 09/869,170
Filing Date: June 25, 2001
Group Art Unit: 2131

RECEIVED
RECEIVED
NOV 09 2001
NOV 09 2001
Technology Center 2100
Technology Center 2100

Dear Sir:

We are enclosing herewith copies of the filing receipt and executed Declaration for the above-identified patent application.

Please note that the enclosed filing receipt contains two (2) errors. First, in the "Foreign Applications" section, the correct Japanese Application Number should read --P11-310324--, not "11/310324." Also, the correct *Title* should read --SIGNAL PROCESSING DEVICE AND ITS METHOD AND PROGRAM STORING MEDIUM--.

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

ARNOLD H. KRUMHOLZ
Reg. No. 25,428

AHK:ls
Enclosures
326335_1.DOC



UNITED STATES PATENT AND TRADEMARK OFFICE

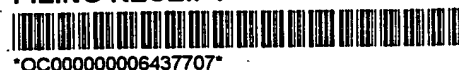
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/869,170	06/25/2001	2131	860	SONYJP- 33130	12	15	3

CONFIRMATION NO. 9790

000530
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

FILING RECEIPT



Date Mailed: 08/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yuuki Matsumura, Tokyo, JAPAN;
Hideo Sato, Tokyo, JAPAN;

RECEIVED

NOV 09 2001

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/07593 10/27/2000

Technology Center 2100

Foreign Applications

JAPAN 11/310324 10/29/1999

Projected Publication Date: N/A

RECEIVED

Non-Publication Request: No

AUG 20 2001

Early Publication Request: No

LDLK&M

Title

Signal processing device and method therefor and program storing medium

Preliminary Class
380

Data entry by : NGUYEN, SON

Team : OIPE

Date: 08/16/2001

~~_____~~

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231